FORM 4

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b)

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     SANG CHARLES                             |   |  |  |            |  | 2. Issuer Name and Ticker or Trading Symbol Adaptive Biotechnologies Corp [ ADPT ] |   |                                      |                  |  |                    |   |  | Relationship<br>leck all appli<br>Directo           | cable)<br>or  | g Pers  | 10% Ov   | vner  |
|--|---|--|--|------------|--|--|---|--------------------------------------|------------------|--|--------------------|---|--|---|---|---|--|---|
| (Last) (First) (Middle) C/O ADAPTIVE BIOTECHNOLOGIES CORP.                         |   |  |  |            |  | 3. Date of Earliest Transaction (Month/Day/Year) 09/14/2020                        |   |                                      |                  |  |                    |   |  | helow)  | Officer (give title below)  SVP, Extern   |   | Other (s<br>below)<br>Affairs  | респу   |
| SUITE 200 (Street) SEATTLE WA 98102  |   |  |  | 4.1        | 4. If Amendment, Date of Original Filed (Month/Day/Year)  6. Individual or Joint/Group Filing (Check Applicable Line)  X Form filed by One Reporting Person Form filed by More than One Reporting Person |  |   |                                      |                  |  |                    |   |  |   |   |   | n  |   |
| (City)   | (S  |  | (Zip)  | n_Deri     | vativ  | <u>م</u>   | Curi  | tios Ac                              | ·auired          | Die  | nosed o            | of or Re  | noficial                               | ly Owner  | 1   |   |  |   |
| Table I - Non-Deriva  1. Title of Security (Instr. 3)  2. Transar Date (Month/Date |   |  |  |            |  |  | 2A. Deemed<br>Execution Date,<br>if any<br>(Month/Day/Year) |                                      | 3.<br>Transa     | 3.<br>Transaction<br>Code (Instr.                        |                    | 4. Securities Acquired (A<br>Disposed Of (D) (Instr. 3,                           |  | 5. Amou<br>Securitie<br>Benefici<br>Owned I         | nt of<br>es<br>ally<br>Following  | 6. Ownership<br>Form: Direct<br>(D) or Indirect<br>(I) (Instr. 4) |  | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership |
|  |   |  |  |            |  |  |   |                                      | Code             | v  | Amount             | (A) o<br>(D)  | r Price                                | Reporte<br>Transac<br>(Instr. 3                     | tion(s)   |   |  | (Instr. 4)  |
| Common Stock 09/1  |   |  |  |            | 4/202  | 2020   |   | М                                    |                  | 25,00  | 0 A \$6.           |   | 5 25                                   | 25,000  |   | D   |  |   |
| Common Stock 09/14/  |   |  |  |            | 4/202  | 20   |   |                                      | S                |  | 25,00              | 0 D   | \$42.5                                 | (1)   | 0   |   | D  |   |
| Common Stock 09/15/  |   |  |  |            | 5/202  | 20   |   |                                      | M                |  | 25,00              | 0 A   | \$6.32                                 | 2 25  | 25,000  |   | D  |   |
| Common Stock 09/15/  |   |  |  |            | 5/202  | /2020  |   |                                      |                  |  | 25,00              | 0 D   | \$44                                   | 0   |   |   | D  |   |
|  |   | -  | Гable II -                                     |            |  |  |   |                                      |                  |  | osed of,           |   |  | Owned   |   |   |  |   |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)                                | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Day | d<br>Date, | 4.<br>Transactior<br>Code (Instr.<br>8)  |  | 5. N<br>of<br>Deri<br>Sec<br>Acq<br>(A)<br>Disp<br>of (I    | umber<br>ivative<br>urities<br>uired | 6. Date E        | 6. Date Exercisab<br>Expiration Date<br>(Month/Day/Year) |                    | 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4) |  | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | illy  | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | Beneficial<br>Ownership<br>(Instr. 4)               |
|  |   |  |  |            | Code   | v  | (A)   | (D)                                  | Date<br>Exercisa |  | Expiration<br>Date | Title   | Amount<br>or<br>Number<br>of<br>Shares |   |   |   |  |   |
| Stock<br>Option<br>(Right to<br>Buy)   | \$6.55  | 09/14/2020                                 |  |            | M  |  |   | 25,000                               | (2)              | (  | 02/07/2028         | Common<br>Stock   | 25,000                                 | \$0.00  | 205,00  | 00  | D  |   |
| Stock<br>Option<br>(Right to   | \$6.32  | 09/15/2020                                 |  |            | M  |  |   | 25,000                               | (3)              |  | 04/29/2026         | Common<br>Stock   | 25,000                                 | \$0.00  | 55,000  | 0   | D  |   |

## **Explanation of Responses:**

- 1. The price reported for this transaction is a weighted-average price. The shares were sold in multiple transactions ranging from \$42.50 to 42.51, inclusive. The reporting person undertakes to provide to Adaptive Biotechnologies Corporation, any security holder of Adaptive Biotechnologies Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in any footnotes to this Form 4.
- 2. The options vested with respect to 1/4 of such shares on November 1, 2018, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.
- 3. The option is fully vested and exercisable.

## Remarks:

/s/ Charles Sang by Eric Billings, Attorney in Fact

09/16/2020

\*\* Signature of Reporting Person

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- \* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

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