# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

WASHINGTON, D.C. 20549

### FORM 8-K

#### **CURRENT REPORT**

Pursuant to Section 13 or 15(d) of the Securities Exchange Act of 1934

Date of Report (Date of earliest event reported): June 11, 2024 (June 07, 2024)

## ADAPTIVE BIOTECHNOLOGIES CORPORATION

(Exact name of Registrant as Specified in Its Charter)

Washington (State or Other Jurisdiction of Incorporation) 001-38957 (Commission File Number) 27-0907024 (IRS Employer Identification No.)

1165 Eastlake Avenue East Seattle, Washington (Address of Principal Executive Offices)

98109 (Zip Code)

Registrant's Telephone Number, Including Area Code: (206) 659-0067

(Forme	Not Applicable r Name or Former Address, if Change	ed Since Last Report)
Check the appropriate box below if the Form 8-K filing is following provisions:	intended to simultaneously sa	ntisfy the filing obligation of the registrant under any of the
☐ Written communications pursuant to Rule 425 under	the Securities Act (17 CFR 2.	30.425)
☐ Soliciting material pursuant to Rule 14a-12 under the	e Exchange Act (17 CFR 240.	14a-12)
☐ Pre-commencement communications pursuant to Ru	le 14d-2(b) under the Exchang	ge Act (17 CFR 240.14d-2(b))
☐ Pre-commencement communications pursuant to Ru	le 13e-4(c) under the Exchang	ge Act (17 CFR 240.13e-4(c))
Securities	registered pursuant to Secti	on 12(b) of the Act:
Title of each class	Trading Symbol(s)	Name of each exchange on which registered
Common stock, par value \$0.0001 per share	ADPT	The Nasdaq Stock Market LLC
Indicate by check mark whether the registrant is an emerg chapter) or Rule 12b-2 of the Securities Exchange Act of		ed in Rule 405 of the Securities Act of 1933 (§ 230.405 of this oter).
Emerging growth company $\square$		
If an emerging growth company, indicate by check mark if	e e	to use the extended transition period for complying with any new pange $Act \square$

#### Item 5.07 Submission of Matters to a Vote of Security Holders.

On June 7, 2024, Adaptive Biotechnologies Corporation (the "*Company*") held its annual meeting of shareholders (the "*Annual Meeting*") pursuant to notice duly given. Three proposals were presented for voting: Proposal 1: election of two Class II directors to the Board of Directors (Peter Neupert and Michelle Griffin); Proposal 2: an advisory request concerning compensation for the Company's 2023 named executive officers; and, Proposal 3: ratification of Ernst & Young LLP as the Company's independent registered public accounting firm for the year ending December 31, 2024. Each of the proposals was described in detail in the Company's definitive proxy statement on Schedule 14A filed with the U.S. Securities and Exchange Commission on April 26, 2024. A quorum of the shareholders voted by the conclusion of the Annual Meeting. The final results of the voting are set forth below.

#### **Proposal 1: Election of Class II Directors**

Peter Neupert	Votes Submitted	As % of Proposal Votes	Broker Non Vote
For	82,704,811	69.15 %	14,893,022
Against	-	-	
Withhold/Abstain	36,895,764	30.85 %	

Michelle Griffin	Votes Submitted	As % of Proposal Votes	Broker Non Vote
For	99,854,001	82.93 %	14,080,001
Against	-	-	, ,
Withhold/Abstain	20,559,595	17.07 %	

#### Proposal 2: Advisory Vote Concerning the 2023 Compensation of the Company's Named Executive Officers

	Votes Submitted	As % of Proposal Votes	Broker Non Vote
For	103,594,016	86.00 %	14,033,887
Against	15,410,744	12.79 %	
Withhold/Abstain	1,454,950	1.21 %	

## Proposal 3: Ramification of Ernst & Young LLP as the Company's Independent Registered Public Accounting Firm for the Year Ending December 31, 2024

	Votes Submitted	As % of Proposal Votes	Broker Non Vote
For	133,954,711	99.60 %	0
Against	339,767	0.25 %	
Withhold/Abstain	199,119	0.15 %	

#### Item 8.01 Other Events.

On June 10, 2024, NASDAQ notified the Company that it is in compliance with Listing Rule 5605(c)(2) (the "*Rule*"). Specifically, the Company's appointment of Peter Neupert to its audit committee in July of 2023 resolved the deficiency under the Rule previously noted following the departure of former Company director Leslie Trigg.

#### **SIGNATURES**

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

#### **Adaptive Biotechnologies Corporation**

Date: June 11, 2024 By: /s/ Kyle Piskel

Kyle Piskel

Chief Financial Officer