SEC Form 4
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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

l	OMB Number:	3235-0287										
l	Estimated average burden											
l	hours per response:	0.5										

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934

			or Section So(n) of the investment Company Act of 1940							
1. Name and Address of Reporting Person* LO FRANCIS			2. Issuer Name <b>and</b> Ticker or Trading Symbol Adaptive Biotechnologies Corp [ ADPT ]		5. Relationship of Reporting Person(s) to Issuer (Check all applicable)					
					Director	10% Owner				
( )	(-)			X	Officer (give title below)	Other (specify below)				
C/O ADAPTIVE BIOTECHNOLOGIES CORPORATION		NOLOGIES	3. Date of Earliest Transaction (Month/Day/Year) 04/15/2021		Chief People	Officer				
1551 EASTLAKE AVE E STE 200			4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indiv Line)	ividual or Joint/Group Filing (Check Applicable					
(Street)				X	Form filed by One Re	porting Person				
SEATTLE	WA	98102			Form filed by More the Person	an One Reporting				
(City)	(State)	(Zip)								

## Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr.4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
			Code	v	Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(Instr. 4)
Common Stock	04/15/2021		M <sup>(1)</sup>		2,000	A	\$7.8	22,604	D	
Common Stock	04/15/2021		<b>S</b> <sup>(1)</sup>		2,000	D	\$43.04	20,604	D	
Common Stock								2,500	I	By You Jin Lee (spouse)

## Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right To Buy)	\$7.8	04/15/2021		<b>M</b> <sup>(1)</sup>			2,000	(2)	05/06/2029	Common Stock	2,000	\$0.00	153,000	D	

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on May 18, 2020.

2. The options vested with respect to 1/4 of such shares on May 6, 2020, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

**Remarks:** 

## /s/ Francis Lo by Eric Billings,

Attorney-in-Fact

\*\* Signature of Reporting Person Date

04/16/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 $\ast$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.