SEC Form 4

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FORM 4

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

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Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

1. Name and Address of Reporting Person* <u>VIKING GLOBAL INVESTORS LP</u>					2. Issuer Name and Ticker or Trading Symbol <u>Adaptive Biotechnologies Corp</u> [ADPT]									5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director X 10% Owner								
							3. Date of Earliest Transaction (Month/Day/Year) 11/11/2020									Officer (give title Other (specify below) below)						
(Street) GREENWICH CT 06830					4. If A	Line)								ine) F	vidual or Joint/Group Filing (Check Applicable Form filed by One Reporting Person Form filed by More than One Reporting							
(City) (State) (Zip)																X Person Person						
			Table	I - Non-	Deriva	tive S	ecu	uritie	s Ac	quired, Dis	pose	d of,	or B	Benefic	ially O	wned						
Date			Date	th/Day/Year) if any		emed ion Date, /Day/Year)		3. Transaction Code (Instr. 8)		4. Securities Acqu Disposed Of (D) (II				5. Amount of Securities Beneficially Owned Following Reported		6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		7. Nature of Indirect Beneficial Ownership (Ir 4)				
							-	Code	v	Amount	or (D)	Price	e	Transad (Instr. 3								
Common	Stock		11/1	.1/2020			5			2,500,000 ⁽¹⁾	D	\$49	9.515 30,99		93,708	Ι		See explanation of responses ⁽²⁾⁽³⁾⁽⁴⁾⁽⁵⁾⁽⁶⁾⁽⁷⁾				
			Tal							uired, Disp , options, d						ned						
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transac Date (Month/Da		3A. Deemo Execution if any (Month/Da	Date,	4. Transa Code (8)		5. Num on of		Expiration Day/ (Month/Day/)	isable and ate		7. Title Amou Secur Under Deriva Secur 3 and	int of ities rlying ative ity (Instr.	8. Price Derivati Security (Instr. 5	ive der y Sec) Bei Ow Fol Rej Tra	Number rivative curities neficially ned llowing ported unsaction str. 4)	e s Ily J	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
						Code	v	(A)	(D)	Date Exercisable	Expira Date		Title	Amount or Number of Shares								
	nd Address of			ORSLE)																	
VIKING GLOBAL INVESTORS LP (Last) (First) (Middle) 55 RAILROAD AVENUE						-																
(Street) GREEN	WICH	СТ		0683	0		-															
(City)		(State)		(Zip)																		
Viking	nd Address of <u>Global C</u> nents Sub	<u>pportun</u>	ities I																			
(Last) 55 RAIL	ROAD AV	(First) ENUE		(Middl	e)		-															
(Street) GREEN	WICH	СТ		0683	0		-															
(City)		(State)		(Zip)																		
	nd Address of Global C				o GP I	LLC																
(Last) 55 RAIL	ROAD AV	(First) <mark>ENUE</mark>		(Middl	e)																	

(Street) GREENWICH	СТ	06830							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person [*] Viking Global Opportunities GP LLC									
(Last) 55 RAILROAD A	(First) VENUE	(Middle)							
(Street) GREENWICH	СТ	06830							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person [*] HALVORSEN OLE ANDREAS									
(Last) 55 RAILROAD A	(First) VENUE	(Middle)							
(Street) GREENWICH	СТ	06830							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person [*] Ott David C.									
(Last) 55 RAILROAD A	(First) VENUE	(Middle)							
(Street) GREENWICH	СТ	06830							
(City)	(State)	(Zip)							
1. Name and Address of Reporting Person* Shabet Rose Sharon									
(Last) 55 RAILROAD A	(First) VENUE	(Middle)							
(Street) GREENWICH	СТ	06830							
(City)	(State)	(Zip)							

Explanation of Responses:

1. On November 11, 2020, the Viking Funds (defined below) sold an aggregate of 2,500,000 shares of common stock of the Issuer ("Common Stock") at a price of \$49.5150 per share.VGE II sold 18,326 shares, VGEM sold 897,994 shares, VLFM sold 332,821 shares and Opportunities Fund sold 1,250,859 shares.

2. O. Andreas Halvorsen, David C. Ott and Rose S. Shabet are Executive Committee members of certain management entities, including Viking Global Partners LLC, the general partner of Viking Global Investors LP ("VGI"), Viking Global Performance LLC ("VGP"), Viking Long Fund GP LLC ("VLFGP") and Viking Global Opportunities GP LLC ("Opportunities GP"), the sole owner of Viking Global Opportunities Portfolio GP LLC ("Opportunities Portfolio GP"). VGI provides managerial services to various investment funds, including Viking Global Equities II LP ("VGE II"), Viking Global Equities Master Ltd. ("VGEM"), Viking Long Fund Master Ltd. ("VLFM") and Viking Global Opportunities Illiquid Investments Sub-Master LP ("Opportunities Fund," and together with VLFM, VGEM and VGE II, the "Viking Funds").

3. VGP is the general partner of VGE II. Opportunities Portfolio GP is the general partner of Opportunities Fund. VLFGP serves as the investment manager of VLFM. Each of the Viking Funds, VGI, VGP, VLFGP, Opportunities Portfolio GP, Mr. Halvorsen, Mr. Ott and Ms. Shabet (collectively, the "Reporting Persons") may be deemed to beneficially own the shares reported on this form.

4. VGI provides managerial services to (i) VGE II, which directly holds 454,717 shares of Common Stock; (ii) VGEM, which directly holds 22,281,040 shares of Common Stock; (iii) VLFM, which directly holds 8,257,951 shares of Common Stock; and (iv) Opportunities Fund, which does not directly hold shares of Common Stock. Because of the relationship between VGI and the Viking Funds, VGI may be deemed to beneficially own the shares of Common Stock directly held by the Viking Funds.

5. VGP is the general partner of VGE II and the investment manager of VGEM. Because of the relationships between VGP and VGE II and VGEM, respectively, VGP may be deemed to beneficially own the shares of Common Stock directly held by VGE II and VGEM.

6. VLFGP serves as the investment manager of VLFM. Because of the relationship between VLFGP and VLFM, VLFGP may be deemed to beneficially own the shares of Common Stock directly held by VLFM.

7. Opportunities Portfolio GP is the general partner of Opportunities Fund. Because of the relationship between Opportunities Portfolio GP and Opportunities Fund, Opportunities QP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities Fund. Opportunities GP is the sole owner of Opportunities Portfolio GP. Because of the relationship between Opportunities QP and Opportunities Portfolio GP, Opportunities GP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities QP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities QP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities QP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities QP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities Fund.

8. The Reporting Persons disclaim beneficial ownership of these securities except to the extent of their pecuniary interest therein, and the inclusion of these securities in this report shall not be deemed an admission of beneficial ownership of the reported securities for purposes of Section 16 or for any other purpose. Opportunities Fund, Opportunities Portfolio GP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities Fund. Opportunities GP is the sole owner of Opportunities Portfolio GP. Because of the relationship between Opportunities GP and Opportunities Portfolio GP, Opportunities GP may be deemed to beneficially own the shares of Common Stock held directly by Opportunities GP and Opportunities Portfolio GP. Because of the relationship between Opportunities GP and Opportunities Portfolio GP. Opportunities Fund.

Remarks:

9. The Reporting Persons are jointly filing this Form 4 pursuant to Rule 16a-3(j) under the Exchange Act. 10. Each of O. Andreas Halvorsen, David C. Ott and Rose S. Shabet is signing individually and as an Executive Committee Member of VIKING GLOBAL PARTNERS LLC, on behalf of VIKING GLOBAL INVESTORS LP, and as an Executive Committee Member of VIKING GLOBAL OPPORTUNITIES GP LLC, on behalf of itself and VIKING GLOBAL OPPORTUNITIES PORTFOLIO GP LLC and VIKING GLOBAL OPPORTUNITIES ILLIQUID INVESTMENTS SUB-MASTER LP. 11. Because no more than 10 reporting persons can file any one Form 4 through the SEC's EDGAR system, VGP, VGE II, VGEM, VLFGP and VLFM have jointly filed with the Reporting Persons on a separate Form 4 filing submitted on the same day hereof.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.