FORM 4

## **UNITED STATES SECURITIES AND EXCHANGE COMMISSION**

| Washington, | D.C. | 20549 |  |
|-------------|------|-------|--|
|             |      |       |  |

| heck this box if no longer subject to |
|---------------------------------------|
| ection 16. Form 4 or Form 5           |
| oligations may continue. See          |
| etruction 1(h)                        |

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

| OMB APPROVAL             |     |  |  |  |  |  |  |  |
|--------------------------|-----|--|--|--|--|--|--|--|
| OMB Number: 3235-028     |     |  |  |  |  |  |  |  |
| Estimated average burden |     |  |  |  |  |  |  |  |
| hours per response:      | 0.5 |  |  |  |  |  |  |  |

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934 or Section 30(h) of the Investment Company Act of 1940

| Name and Address of Reporting Person*     BALDO LANCE |   |  |   |       |                                 | Adaptive Biotechnologies Corp [ ADPT ]                      |     |                  |     |   |        |   |  |               |                                       |                                     | k all applic<br>Directo                             | cable)  |                                    |  | ner  |
|---|---|--|---|-------|---------------------------------|---|-----|------------------|-----|---|--------|---|--|---------------|---------------------------------------|-------------------------------------|---|---|------------------------------------|--|--|
| (Last) C/O ADA SUITE 2                                | APTIVE BI   | irst)<br>OTECHNOLOG                        | (Middle)<br>GIES COI                          | RP.   |                                 | 3. Date of Earliest Transaction (Month/Day/Year) 04/14/2021 |     |                  |     |   |        |   |  |               | Λ                                     | below) below) Chief Medical Officer |   |   |                                    |  |  |
| (Street) SEATTL (City)                                |   | tate)                                      | 98102<br>(Zip)                                |       |                                 | 4. If Amendment, Date of Original Filed (Month/Day/Year)    |     |                  |     |   |        |   |  | Li            | ne)<br>X                              | · /                                 |   |   |                                    |  |  |
|   |   |  | le I - Noi                                    |       |                                 | _   |     |                  | qu  |   | Disp   |   |  |               |                                       |                                     |   |   |                                    |  |  |
| 1. Title of Security (Instr. 3)                       |   |  | 2. Transaction<br>Date<br>(Month/Day/Year)    |       | ar)                             | 2A. Deemed<br>Execution Date<br>if any<br>(Month/Day/Yea    |     | ΄ Ι              |     | Transaction Disposed |        | 4. Securities Acquired (A) Disposed Of (D) (Instr. 3, 45) |  |               | 4 and Securiti<br>Benefic<br>Owned    |                                     | es<br>ally<br>Following                             | Form<br>(D) o   | n: Direct<br>r Indirect<br>str. 4) | 7. Nature<br>of Indirect<br>Beneficial<br>Ownership                      |  |
|   |   |  |   |       |                                 |   |     |                  |     | Code  | Code V |   |  | (A) or<br>(D) | Price                                 |                                     | Reported Transaction(s) (Instr. 3 and 4)            |   |                                    |  | (Instr. 4)   |
| Common Stock 04/1                                     |   |  | 04/14   | 1/202 | 2021                            |   |     | M <sup>(1)</sup> |     | 2,000   | 0 A :  |   | \$7  | .8            | 24,894                                |                                     | D   |   |                                    |  |  |
| Common Stock (  |   |  |   | 04/14 | 4/2021                          |   |     |                  |     | S <sup>(1)</sup>  |        | 2,000   | 0 D \$   |               | \$44                                  | 1.5                                 | 5 22,894  |   | D                                  |  |  |
|   |   | Т  | able II -                                     |       |                                 |   |     |                  |     |   |        | sed of<br>onverti   |  |               |                                       |                                     | wned  |   |                                    |  |  |
| 1. Title of<br>Derivative<br>Security<br>(Instr. 3)   | 2.<br>Conversion<br>or Exercise<br>Price of<br>Derivative<br>Security | 3. Transaction<br>Date<br>(Month/Day/Year) | 3A. Deeme<br>Execution<br>if any<br>(Month/Da | Date, | 4.<br>Transactio<br>Code (Insti |   |     |                  | Ex  | 6. Date Exercisal<br>Expiration Date<br>(Month/Day/Year   |        |   | 7. Title and<br>Amount of<br>Securities<br>Underlying<br>Derivative Secu<br>(Instr. 3 and 4) |               | Security                              | De<br>Se<br>(Ir                     | 8. Price of<br>Derivative<br>Security<br>(Instr. 5) | 9. Number<br>derivative<br>Securities<br>Beneficial<br>Owned<br>Following<br>Reported<br>Transactio<br>(Instr. 4) | ly                                 | 10.<br>Ownership<br>Form:<br>Direct (D)<br>or Indirect<br>(I) (Instr. 4) | 11. Nature<br>of Indirect<br>Beneficial<br>Ownership<br>(Instr. 4) |
|   |   |  |   |       | Code                            | v   | (A) | (D)              | Dar | te<br>ercisable   |        | xpiration<br>ate  | Title  |               | Amoun<br>or<br>Number<br>of<br>Shares |                                     |   |   |                                    |  |  |
| Stock<br>Option<br>(Right to<br>Buy)                  | \$7.8   | 04/14/2021                                 |   |       | M <sup>(1)</sup>                |   |     | 2,000            |     | (2)   | 05     | 5/06/2029   |  | nmon<br>ock   | 2,000                                 |                                     | \$0.00  | 339,25  | 0                                  | D  |  |

## **Explanation of Responses:**

- 1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on December 1, 2020 and modified on March 3, 2021.
- 2. The options vested with respect to 1/4 of the shares on May 6, 2020, with 1/48 of the shares vesting thereafter at the end of each full month of continuous service until fully vested.

## Remarks:

/s/ Lance Baldo by Eric L. Billings, attorney-in-fact \*\* Signature of Reporting Person

04/16/2020

Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

- $^{\star}$  If the form is filed by more than one reporting person, see Instruction 4 (b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.