SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL

OMB Number:	3235-0287
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Section 16. Fo	x if no longer subject orm 4 or Form 5 ay continue. See)).	to STATE	Filed pursua	_	of the Securiti	IEFICIAL OWNE es Exchange Act of 1934 npany Act of 1940	ERSH	IP	Estim	Number: ated average burg per response:		287 0.5
PETERSON (Last) C/O ADAPTT CORPORATIO	(First) VE BIOTECHN	(Middle)	<u>Ada</u> r	e of Earliest Transa	nologies C	Corp [ADPT]		all applicable Director Officer (giv below)	e title		Owner (specify	
(Street) SEATTLE (City)	WA (State)	98109 (Zip)		nendment, Date of		(Month/Day/Year)	Line) X	Form filed Form filed Person	by One	Filing (Check A Reporting Pers e than One Rep	ion	
4 7.4		1			<u>anoa, 2.0</u>					C. Oursemakin	7 Net	

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (4. Securities A Disposed Of (6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
			Code	v	Amount	(A) or (D)	Price	Reported Fransaction(s) Instr. 3 and 4)		(1150.4)
Common Stock	03/06/2023		Α		118,203	A	\$ <mark>0</mark>	492,891	D	

	Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code (8)		Derivative Expiration Date Securities (Month/Day/Year) Acquired (A)		7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)		
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$8.46	03/06/2023		A		177,377		(1)	03/06/2033	Common Stock	177,377	\$0	177,377	D	

Explanation of Responses:

1. The options vest with respect to 1/4 of such shares on March 4, 2024, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

/s/ Tycho Peterson by Stacy L Taylor, Attorney-in-Fact

03/08/2023

** Signature of Reporting Person Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

 * If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.