SEC Form 4
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## FORM 4

obligations may continue. See

Instruction 1(b).

Check this box if no longer subject to Section 16. Form 4 or Form 5

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

OMB APPROVAL
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Filed pursuant to Se	ction 16(a) of the	Securities Exchange	e Act of 1934

			or Section 30(h) of the Investment Company Act of 1940			
			2. Issuer Name <b>and</b> Ticker or Trading Symbol <u>Adaptive Biotechnologies Corp</u> [ ADPT ]		ationship of Reporting P k all applicable) Director	10% Owner
(Last) (First) (Middle) C/O ADAPTIVE BIOTECHNOLOGIES 1551 EASTLAKE AVE E STE 200		NOLOGIES	3. Date of Earliest Transaction (Month/Day/Year) 06/30/2021	X	Officer (give title below) SVP and Gener	Other (specify below) ral Counsel
(Street) SEATTLE	WA (State)	98102 (Zip)	4. If Amendment, Date of Original Filed (Month/Day/Year)	6. Indi Line) X	vidual or Joint/Group Fil Form filed by One Re Form filed by More th Person	eporting Person

### Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transa Code ( 8)		4. Securities Acquired (A) or Disposed Of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V		Amount	(A) or (D)	Price	Transaction(s) (Instr. 3 and 4)		(1150.4)	
Common Stock	06/30/2021		<b>M</b> <sup>(1)</sup>		1,042	A	\$7.8	16,078	D		
Common Stock	06/30/2021		M <sup>(1)</sup>		1,771	A	\$6.55	17,849	D		
Common Stock	06/30/2021		<b>M</b> <sup>(1)</sup>		1,354	A	\$31.71	19,203	D		
Common Stock	06/30/2021		<b>S</b> <sup>(1)</sup>		3,772	D	\$41.35(2)	15,431	D		
Common Stock	06/30/2021		<b>S</b> <sup>(1)</sup>		395	D	\$42.13	15,036	D		

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	(e.g., puts, cans, warrants, options, convertible securities)														
1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transa Code ( 8)		of Deri Sec Acq (A) o Disp of (E	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)		ate Amount of		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported Transaction(s) (Instr. 4)	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)	
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares				
Stock Option (Right to Buy)	\$7.8	06/30/2021		<b>M</b> <sup>(1)</sup>			1,042	(3)	04/23/2029	Common Stock	1,042	\$0.00	22,917	D	
Stock Option (Right to Buy)	\$6.55	06/30/2021		M <sup>(1)</sup>			1,771	(4)	04/24/2028	Common Stock	1,771	\$0.00	15,938	D	
Stock Option (Right to Buy)	\$31.71	06/30/2021		M <sup>(1)</sup>			1,354	(5)	02/13/2030	Common Stock	1,354	\$0.00	43,334	D	

#### Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on November 23, 2020.

2. The price reported for this transaction is a weighted-average price. The shares were sold in multiple transactions ranging from \$41.00 to 41.58, inclusive. The reporting person undertakes to provide to Adaptive Biotechnologies Corporation, any security holder of Adaptive Biotechnologies Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in any footnotes to this Form 4.

3. The options vested with respect to 1/4 of such shares on April 23, 2020, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

4. The options vested with respect to 1/4 of such shares on March 12, 2019, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

5. The options vested with respect to 1/4 of such shares on February 13, 2021, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

#### <u>/s/ Stacy L. Taylor by Eric</u> Billings as attorney-in-fact

\*\* Signature of Reporting Person

Date

07/01/2021

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

\* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.