SEC Form 4

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB Number:	3235-0287							
Estimated average burden								
hours per response:	0.5							

Check this box Section 16. Fo	k if no longer subject to form 4 or Form 5	TEME	NT OF CHANGES IN BENEFICIAL OWNERSHIP									Number: ated average burd	3235-0287 en			
	ay continue. See		Filed		ant to Section 16(a) ection 30(h) of the li					34		hours	per response:	0.5		
1. Name and Address of Reporting Person* <u>PETERSON TYCHO</u>					uer Name and Ticke ptive Biotech	er or Trac	ling S	Symbol		5. Relationship of Reporting Person(s) to Issuer (Check all applicable) Director 10% Owner						
(Last) (First) (Middle) C/O ADAPTIVE BIOTECHNOLOGIES					e of Earliest Transa)/2023	Officer (give below) Chief	(give title Other (specify below) nief Financial Officer									
CORPORATION 1165 EASTLAKE AVENUE EAST												6. Individual or Joint/Group Filing (Check Applicable Line)				
(Street)														by One Reporting Person by More than One Reporting		
SEATTLE	WA	98109		Rule	e 10b5-1(c)	Trans	acti	ion Indica	tion							
(City)	(State)	(Zip)		\overline{X} Check this box to indicate that a transaction was made pursuant to satisfy the affirmative defense conditions of Rule 10b5-1(c). See In:							t, instruction or .0.	written	plan that is intend	ed to		
		Table I - No	on-Deriva	ative S	Securities Acq	uired,	Dis	posed of, c	or Ben	eficially	Owned					
Date			2. Transac Date (Month/Da		2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)		Transaction Disposed Of (D) (Instr. 3, Code (Instr.			5. Amount of Securities Beneficially Owned Follo Reported	Form: Direc (D) or Indire	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership		
						Code	v	Amount	(A) or (D)	Price	Transaction((Instr. 3 and 4			(Instr. 4)		
Common Stock 06/20			06/20/2	2023		M ⁽¹⁾		134,961	A	\$7.3	534,18	0	D			
Common Stock 06/20/			06/20/2	2023		S ⁽¹⁾		70,000	D	\$8.14(2)	464,18	0	D			
Common Stock 06/20/				2023		S ⁽¹⁾		64,961	D	\$8.14 ⁽²⁾	399,21	9	D			
		Table II	Derivat	ive Se	ecurities Acqu	ired. D	ispo	osed of. or	Benef	icially O	wned					

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transac Code (Ir 8)		Deri Sec Acq or D of (I	umber of vative urities uired (A) visposed D) (Instr. and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		nd 7. Title and Amount of Securities Underlying Derivative Security (Instr. 3 and 4)		8. Price of Derivative Security (Instr. 5)	9. Number of derivative Securities Beneficially Owned Following Reported	10. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	11. Nature of Indirect Beneficial Ownership (Instr. 4)
				Code	v	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		Transaction(s) (Instr. 4)		
Stock Option (right to buy)	\$7.3	06/20/2023		M ⁽¹⁾			134,961	(3)	06/01/2032	Common Stock	134,961	\$0	447,868	D	

Explanation of Responses:

1. The transactions reported in this Form 4 were effected pursuant to a Rule 10b5-1 trading plan adopted by the reporting person on March 10, 2023.

2. The price reported for this transaction is a weighted-average price. The shares were sold in multiple transactions ranging from \$8.00 to \$8.34, inclusive. The reporting person undertakes to provide to Adaptive Biotechnologies Corporation, any security holder of Adaptive Biotechnologies Corporation, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the ranges set forth in any footnotes to this Form 4.

3. The options vested with respect to 1/4 of such shares on June 1, 2023, with 1/48 of such shares vesting thereafter at the end of each full month of continuous service until fully vested.

<u>/s/ Tycho Peterson by Stacy L</u> <u>Taylor, Attorney-in-Fact</u>	<u>06/21/2023</u>
** Signature of Reporting Person	Date

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

* If the form is filed by more than one reporting person, see Instruction 4 (b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, see Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.